



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Craig L. Stevens et al.	Examiner: Unknown
Serial No.: 09/872,796	Art Unit: 2812
Filed: 06/01/2001	Atty. Docket No.: 10001.000600 (NVLS 379)
Title: Architecture For High Throughput Semiconductor Processing Applications	

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL RESPONSE TO NOTICE OF INCOMPLETE REPLY(NONPROVISIONAL)

Sir:

In response to the Notice of Incomplete Reply
(Nonprovisional) mailed 10/30/2001 for the above-identified
patent application, enclosed are the following:

- ☒ A copy of the Notice Of Incomplete Reply;
- ☒ Payment in the amount of \$400 for two months
extension of time to response (submission of substitute
drawings);
- ☒ Corrected Drawings;
- ☒ Letter to Official draftsman;

Applicants hereby petition for a two-months
extension of time within which to reply to the Notice To
File Missing Parts mailed on 08/02/2001. Enclosed herewith
is a check for \$400. If any additional fee is required, the

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RECEIVED

Commissioner is hereby authorized to charge Deposit Account No. 50-2030.

Please note that a reply to the Notice Of Incomplete Reply has already been previously filed. However, Applicants have not been able to receive any confirmation from the PTO that the reply has been received, either by receiving a return post card or by calling the Initial Patent Examination Division.

In an abundance of caution, Applicants are filing this Supplemental Response To Notice Of Incomplete Reply. Additionally, to ensure delivery, this Supplemental Response is expected to be hand-delivered on November 30, 2001.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

Dated: Nov. 29, 2001

Respectfully submitted,
Craig L. Stevens et al.

By: Patrick D. Benedicto
Patrick D. Benedicto
Attorney For Applicant(s)
Reg. No. 40,909
DEGUZMAN OKAMOTO & BENEDICTO LLP
P.O. Box 51900
Palo Alto, California 94303
(650) 691-2030
(650) 691-2032 (FAX)

Enclosure(s)

#3

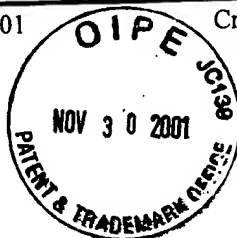


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,796	06/01/2001	Craig L. Stevens	50544.00004 (NVLS 379)

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043



CONFIRMATION NO. 4156

FORMALITIES LETTER



OC00000006993668

Date Mailed: 10/30/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 09/24/2001 to the Notice to File Missing Parts (Notice) mailed 08/02/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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41 EC:116

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#7

<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; margin-right: 10px; text-align: center;"> NOV 30 2001 PATENT & TRADEMARK OFFICE </div> <div> <h1 style="margin: 0;">FREE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2002</h2> <p style="font-size: small; margin-top: 5px;">Patent fees are subject to annual revision.</p> </div> </div>		Complete if Known	
		Application Number	09/872,796
		Filing Date	June 1, 2001
		First Named Inventor	Craig L. Stevens
		Examiner Name	not yet known
		Group / Art Unit	2812
TOTAL AMOUNT OF PAYMENT (\$)		400.00	
Attorney Docket No.		10001.000600 (NVLS 379)	

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)																																																																																																																																																					
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <div style="margin-top: 10px;"> Deposit Account Number: 50-2030 </div> <div style="margin-top: 10px;"> Deposit Account Name: deGuzman Okamoto & Benedicto, LLP </div> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>					<p>3. ADDITIONAL FEES</p> <table style="width: 100%; font-size: small;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td></td></tr> <tr><td>116</td><td>400</td><td>216</td><td>200</td><td>400</td></tr> <tr><td>117</td><td>920</td><td>217</td><td>460</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>218</td><td>720</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40</td><td></td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900</td><td></td></tr> </tbody> </table> <p>Other fee (specify) _____</p>					Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65		127	50	227	25		139	130	139	130		147	2,520	147	2,520		112	920*	112	920*		113	1,840*	113	1,840*		115	110	215	55		116	400	216	200	400	117	920	217	460		118	1,440	218	720		128	1,960	228	980		119	320	219	160		120	320	220	160		121	280	221	140		138	1,510	138	1,510		140	110	240	55		141	1,280	241	640		142	1,280	242	640		143	460	243	230		144	620	244	310		122	130	122	130		123	50	123	50		126	180	126	180		581	40	581	40		146	740	246	370		149	740	249	370		179	740	279	370		169	900	169	900	
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<p>2. EXTRA CLAIM FEES</p> <table style="width: 100%; font-size: small;"> <tr> <td>Total Claims</td> <td>-20</td> <td>=</td> <td>Extra Claims 0</td> <td>X</td> <td>Fee from below 18</td> <td>=</td> <td>Fee Paid 0</td> </tr> <tr> <td>Independent Claims</td> <td>-3</td> <td>=</td> <td>0</td> <td>X</td> <td>24</td> <td>=</td> <td>0</td> </tr> <tr> <td>Multiple Dependent</td> <td></td> <td></td> <td></td> <td>X</td> <td></td> <td>=</td> <td>0</td> </tr> </table> <table style="width: 100%; font-size: small; margin-top: 10px;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>84</td><td>202</td><td>42</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>280</td><td>204</td><td>140</td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>84</td><td>209</td><td>42</td><td>** Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>** Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> <p style="text-align: right;">SUBTOTAL (2) (\$ 0)</p>					Total Claims	-20	=	Extra Claims 0	X	Fee from below 18	=	Fee Paid 0	Independent Claims	-3	=	0	X	24	=	0	Multiple Dependent				X		=	0	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	84	202	42	Independent claims in excess of 3	104	280	204	140	Multiple dependent claim, if not paid	109	84	209	42	** Reissue independent claims over original patent	110	18	210	9	** Reissue claims in excess of 20 and over original patent																																																																																																
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<p>**or number previously paid, if greater; For Reissues, see above</p>					<p>*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 400)</p>																																																																																																																																																					

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Patrick D. Benedicto	Registration No. Attorney/Agent)	40,909	Telephone	650-691-2030
Signature	<i>Patrick D. Benedicto</i>			Date	November 29, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM NOV 30 2001 U.S. PATENT & TRADEMARK OFFICE <i>to be used for correspondence after initial filing)</i>	Application Number	09/872,796	
	Filing Date	June 1, 2001	
	First Named Inventor	Craig L. Stevens	
	Group Art Unit	2812	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	23	Attorney Docket Number	10001.000600 (NVLS 379)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Supplemental Response To Notice Of Incomplete Reply <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Letter to Official Draftsperson <input checked="" type="checkbox"/> Corrected Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of Notice of Incomplete Reply (NONPROVISIONAL); Return receipt postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrick D. Benedicto, Reg. No. 40,909 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	<i>Patrick Benedicto</i>
Date	November 29, 2001

Hand delivery expected November 30, 2001			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/872,796	
	Filing Date	June 1, 2001	
	First Named Inventor	Craig L. Stevens	
	Group Art Unit	2812	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	36	Attorney Docket Number	10001.000600 (NVLS 379)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Supplemental Response to Notice to File Missing Parts of NonProvisional Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Letter to Official Draftsperson <input checked="" type="checkbox"/> Corrected Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Return receipt postcard <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Copy of previously filed response to the Notice to File Missing Parts of NonProvisional application (Exhibit A); Copy of Notice to File Missing Parts of NonProvisional Application;
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrick D. Benedicto, Reg. No. 40,909 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	<i>Patrick Benedicto</i>
Date	October 30, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 10/30/01			
Typed or printed name	Patrick D. Benedicto		
Signature	<i>Patrick Benedicto</i>	Date	Oct. 30, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of: Craig L. Stevens et al.	
Serial No: 09/872,796	Examiner: not yet known
Filed: 06/01/2001	Art Unit: 2812
Title: Architecture For High Throughput Semiconductor Processing Applications	Atty. Docket No.: 10001.000600 (NVLS 379)

Commissioner for Patents
Washington, D.C. 20231

LETTER TO THE OFFICIAL DRAFTSPERSON
(Request To Substitute Drawings)

Sir:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please substitute the enclosed 15 sheets of drawings, containing Figures 1A, 1B, 2, 3, 4, 5, 6, 7, 8, 9A, 9B, 9C, 9D, 10A, and 10B, for the 15 sheets of informal drawings containing Figures 1A, 1B, 2, 3, 4, 5, 6, 7, 8, 9A, 9B, 9C, 9D, 10A, and 10B in place of previous drawings filed with the application.

REMARKS

Applicants respectfully submit that the substitute drawings are consistent with the corresponding material in the specification and originally filed drawings; the substitute drawings do not add any new matter to the application.

Should the Examiner have any questions concerning this request, the Examiner is invited to call the undersigned attorney at the number shown below.

Favorable action is respectfully solicited.

Dated: Nov. 29, 2001

Respectfully submitted,
Craig L. Stevens et al.

By: Patrick D. Benedicto
Patrick D. Benedicto
Attorney For Applicant(s)
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Enclosure(s)

This letter is expected to be hand-delivered to the PTO on November 30, 2001.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Craig L. Stevens et al.	Examiner: Unknown
Serial No.: 09/872,796	Art Unit: 2812
Filed: 06/01/2001	Atty. Docket No.: 10001.000600 (NVLS 379)
Title: Architecture For High Throughput Semiconductor Processing Applications	

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of
Nonprovisional Application dated 08/02/2001 for the above-
identified patent application,

☒ Enclosed are the following:

☒ A copy of the Notice to File Missing Parts of
Nonprovisional Application;

☐ Power of Attorney;

☐ An original, signed Declaration;

☒ Payment in the amount of \$110 for one month
extension of time to response (submission of substitute
drawings);

☒ Corrected Drawings;

☒ Letter to Official draftsman;

☒ Copy of previously filed response to the Notice to File Missing Parts Of Nonprovisional Application, filed on 09/19/2001 (EXHIBIT A);

☐ Applicant claims small entity status under 37 C.F.R. section 1.27.

Applicants hereby petition for a one-month extension of time within which to reply to the Notice To File Missing Parts mailed on 08/02/2001. Enclosed herewith is a check for \$110. If any additional fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50-2030.

Please note that the previously filed response to the Notice to File Missing Parts already included the surcharge for the late filing of the declaration. This supplemental response is only responsive to the requirement to submit substitute drawings with margins in compliance with 37 CFR 1.84.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

Dated: 10-30-01

Respectfully submitted,
Craig L. Stevens et al.

By: Patrick D. Benedicto
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CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<i>Patrick D. Benedicto</i>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	10-30-01
Express Mail Mailing Number (optional):			